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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. CMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	9501-68892
First Inventor	C. Joseph Gelse
Title	MODULAR EXHAUST TREATMENT SYSTEM
Express Mail Label No.	E1894009524US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.

3. ☒ Specification [Total Pages 18]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total 3]

5. Oath or Declaration [Total Pages 2]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Attorney (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Express Mail Certificate

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-In-part (CIP) of prior application No.: _____

Prior application information: Examiner _____ Group / Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Richard A. Rezek Barnes & Thornburg		
Address	11 South Meridian Street		
City	Indianapolis	State	Indiana
Country	USA	Telephone	317-231-7283
Zip Code	46204	Fax	317-231-7433

Name (Print/Type)	Richard A. Rezek	Registration No. (Attorney/Agent)	30796
Signature	<i>Richard A. Rezek</i>	Date	August 24, 2001

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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

Complete if Known

Application Number	Unknown
Filing Date	Herewith
First Named Inventor	C. Joseph Geise
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	9501-68892

TOTAL AMOUNT OF PAYMENT **\$710.00****METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to
- Deposit Account Number: **10-0435**
- Deposit Account Name: **Barnes & Thornburg**
- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17
- ☐ Applicant claims small entity status See 37 CFR § 1.27
2. ☐ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES						
Large Entity Fee Code (1)	Small Entity Fee Code (2)	Fee (\$) (3)	Fee (\$) (4)	Fee Description	Fee Paid	
105	130	205	65	Surcharge - late filing fee or oath		
127	50	227	25	Surcharge - late provisional filing fee or cover sheet		
139	130	139	130	Non - English specification		
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination		
112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
115	110	215	55	Extension for reply within first month		
116	390	216	195	Extension for reply within second month		
117	690	217	445	Extension for reply within third month		
118	1,390	218	695	Extension for reply within fourth month		
128	1,890	228	945	Extension for reply within fifth month		
119	310	219	155	Notice of Appeal		
120	310	220	155	Filing a brief in support of an appeal		
121	270	221	135	Request for oral hearing		
138	1,510	138	1,510	Petition to institute a public use proceeding		
140	110	240	55	Petition to revive - unavoidable		
141	1,240	241	620	Petition to revive - unintentional		
142	1,240	242	620	Utility issue fee (or reissue)		
143	440	243	220	Design issue fee		
144	600	244	300	Plant issue fee		
122	130	122	130	Petitions to the Commissioner		
123	50	123	50	Processing fee under 37 CFR § 1.17(q)		
126	180	126	180	Submission of Information Disclosure Statement		
561	40	561	40	Recording each patent assignment per property (times number of properties)		
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))		
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))		
179	710	279	355	Request for Continued Examination (RCE)		
169	900	169	900	Request for expedited examination of a design application		
Other fee (specify) _____						
				SUBTOTAL (3)		

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Small Entity Code (\$)	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
101 710 201 355			Utility filing fee	710.00
106 320 206 160			Design filing fee	
107 480 207 245			Plant filing fee	
108 710 208 355			Reissue filing fee	
114 150 214 75			Provisional filing fee	
SUBTOTAL (1)				710.00

2. EXTRA CLAIM FEES

Extra Claims		Fee from below		Fee Paid	
Total Claims	29 - 10** = 19	X	18.00	=	9.00
Independent Claims	3 - 1** = 2	X	80.00	=	9.00
Multiple Dependent					
Large Entity Small Entity Code (\$)		Fee Description		Fee Paid	
103 18 203 9		Claims in excess of 20			
102 80 202 40		Independent claims in excess of 3			
104 270 204 135		Multiple dependent claim, if not paid			
109 80 209 40		** Reissue independent claims over original patent			
110 18 210 9		** Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2)					9.00

**or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

SUBMITTED BY

Name (Print/Type)	Richard A. Rezek	Registration No. (Attorney/Agent)	36796	Complete (if applicable)	
Signature	<i>Richard A. Rezek</i>	Telephone	317-231-7283	Date	August 24, 2001

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): C. Joseph Geise

Docket No.

9501-68892

Serial No.
UnknownFiling Date
HerewithExaminer
UnknownGroup Art Unit
UnknownInvention: **MODULAR EXHAUST TREATMENT SYSTEM**

I hereby certify that the following correspondence:

Utility Patent Application

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

August 24, 2001*(Date)*Karen Taylor*(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)*EL894009524US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**